

Holography for Black Hole Microstates

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Outline

- A quick overview of **black hole paradoxes**:
 - the **entropy** problem
 - the **Strominger-Vafa** “solution”
- **Holography** for BPS black hole microstates:
 - review of the **D1-D5 CFT**
 - gravity-CFT map for **D1-D5** geometries
 - a class of **D1-D5-P** microstates
- **Supergravity** construction of D1-D5-P microstates:
 - **superstrata**
- **Geometry from CFT**:
 - **1-point functions**
 - **entanglement entropy**

The information paradox

- **Hawking:** **classical horizons** coupled to quantum matter emit particle pairs in an **entangled state**
- When the black hole has completely evaporated the outside radiation is entangled with nothing
⇒ one cannot associate to it a definite quantum state
- **Mathur, AMPS:** to restore **unitarity** one has to either
 - assume **remnants**
 - modify the classical horizon (**fuzzballs, firewalls**) ←
 - introduce non-localities (**ER=EPR, Papadodimas-Raju**)

The entropy problem

- One aspect of the information paradox survives in the **susy limit**:
 - the final radiation is described by a mixed state \Rightarrow **entropy**
 - this is the Bekenstein-Hawking entropy

$$S_{BH} = \frac{A_H}{4G}$$

- S_{BH} can be non-vanishing also for **BPS black holes**
- The usual statistical interpretation of entropy should imply

$$S_{BH} \stackrel{?}{=} \log(\#\text{microstates})$$

- **“No-hair” theorem**: for fixed mass and charges there is a unique classical geometry with a regular horizon \Rightarrow

$$\#(\text{classical microstates}) = 1$$

Strominger-Vafa counting

- In **string theory** the black hole is described by a bound state of N **D-branes** ($N \gg 1$)
- Example:

$$\text{D1-D5-P on } \mathbb{R}^{4,1} \times S^1 \times T^4$$

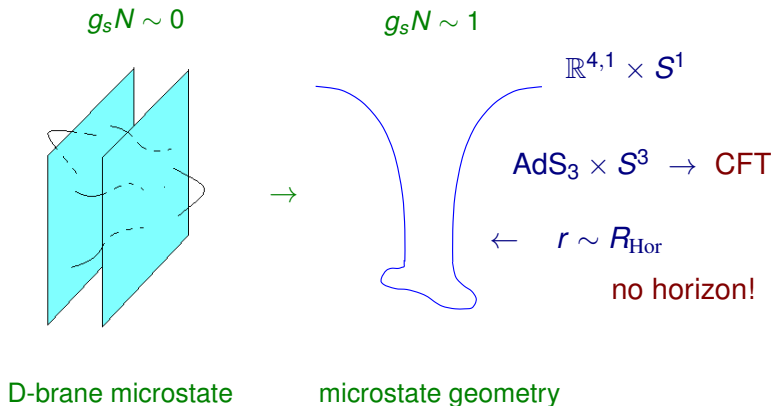
- At small gravitational coupling ($g_s \rightarrow 0$) the bound state of D-branes is described by a **CFT**
- Microstates of the CFT can be counted

$$\log(\#\text{microstates}) = 2\pi\sqrt{n_1 n_5 n_p} = S_{BH}$$

What happens to the microstates at finite gravitational coupling ($g_s N \sim 1$)?

Microstate geometries

- For finite $g_s N$, D-branes backreact on spacetime
- For particular microstates (**coherent states**), the backreaction is well described by **supergravity**



The D1-D5 CFT

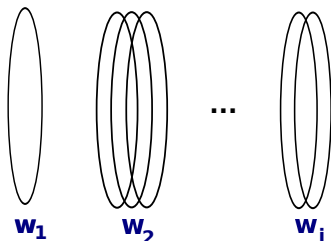
- At a special point in moduli space, the low energy limit of the D1-D5 system is described by the

$(T^4)^N / S_N$ orbifold with (4,4) susy

where $N = n_1 n_5$

- The orbifold Hilbert space includes **twist sectors**:

$$\phi_i(y + 2\pi R) = \phi_{i+1}(y), \quad i = 1, \dots, w - 1$$

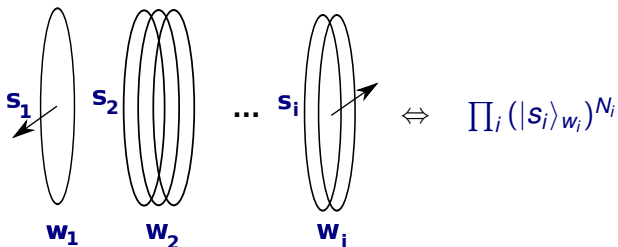


$$\sum_i N_i w_i = N$$

N_i # strands of winding w_i

Ramond ground states \Leftrightarrow D1-D5 states

- The CFT contains **fermions** $\psi^{\alpha\dot{A}}$, $\tilde{\psi}^{\dot{\alpha}A}$ ($\alpha, \dot{\alpha}, \dot{A} = 1, 2$)
- Fermions transform under **R-symmetry** $SU(2)_{\alpha} \times SU(2)_{\dot{\alpha}} \sim SO(4)$
 $\Rightarrow \mathbb{R}^4$ rotations
- Black hole states are in the **RR sector** \Rightarrow fermion zero-modes
- We restrict to ground states which are **bosonic** and **T^4 -invariant**
 \Rightarrow 5 “spin” states: $s = (0, 0), (\pm 1/2, \pm 1/2)$



D1-D5 geometries (Lunin, Mathur; Kanitscheider, Skenderis, Taylor)

- D1-D5 geometries are specified by a **profile**: $g^A(v)$, $A = 1, \dots, 5$
- The components of g^A map to the different **spin states**:

$$g^1 + i g^2 \leftrightarrow (+, +), (-, -)$$

$$g^3 + i g^4 \leftrightarrow (+, -), (-, +)$$

$$g^5 \leftrightarrow (0, 0)$$

- The harmonic modes of g^A map to the **winding numbers**:

$$g^1 + i g^2 = \sum_{w \geq 1} \frac{1}{w} (a_w^{(+,+)} e^{\frac{2\pi i w}{L} v} + a_w^{(-,-)} e^{-\frac{2\pi i w}{L} v}), \dots$$

- **Gravity-CFT map**:

$$\{a_{w_i}^{(s_i)}\} \Leftrightarrow \sum'_{\{N_i\}} \prod_i (a_{w_i}^{(s_i)})^{N_i} (|s_i\rangle_{w_i})^{N_i}$$

where the sum $\sum'_{\{N_i\}}$ is restricted to $\sum_i N_i w_i = N$

Coherent states and supergravity

- The sum $\sum'_{\{N_i\}} \prod_i (a_{w_i}^{(s_i)})^{N_i} (|s_i\rangle_{w_i})^{N_i}$ is **peaked** on

$$w_i \bar{N}_i \propto |a_{w_i}^{(s_i)}|^2 \quad \text{for } \bar{N}_i \gg 1$$

- \bar{N}_i is the average value of strands of winding w_i with “spin” s_i
- When $\bar{N}_i \gg 1 \forall i$ the state is well described by **supergravity**
- The sum over N_i has a non-trivial **spread** (unless all strands are equal, i.e. $N_i = 0$ for $i > 1$)
- Thus generic microstates are **not eigenstates of R-charge** and the dual geometries are **not rotationally invariant**

Examples I

- The simplest D1-D5 state is

$$|+, +\rangle_1^N \leftrightarrow \underbrace{\left(\begin{array}{c} \text{---} \text{---} \text{---} \end{array} \right)}_N$$

The diagram illustrates the D1-D5 state as a collection of N identical units. Each unit is represented by a vertical ellipse with a horizontal arrow pointing to the left. The units are arranged in a row, separated by ellipses, and are collectively enclosed in a large curly bracket underneath, which is labeled with the letter N . A double-headed arrow \leftrightarrow connects this diagram to the quantum state notation $|+, +\rangle_1^N$ on the left.

- Spectral flow** maps this state to the $SL(2, \mathbb{C})$ invariant vacuum
- On the gravity side spectral flow is a change of coordinates mixing $AdS_3(t, y)$ and $S^3(\phi, \psi)$

$$\phi \rightarrow \phi + \frac{t}{R} \quad , \quad \psi \rightarrow \psi + \frac{y}{R}$$

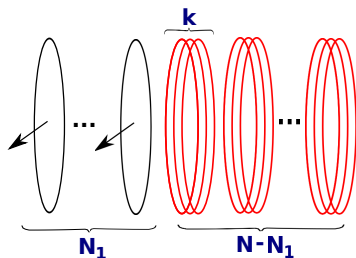
- This change of coordinates maps the geometry to

$$AdS_3 \times S^3 \times T^4$$

Examples II

- A non-trivial D1-D5 state is

$$\sum_{N_1} a^{N_1} b^{N-N_1} (|+, +\rangle_1)^{N_1} (|0, 0\rangle_k)^{N-N_1}$$



$$\bar{N}_1 \propto |a|^2$$

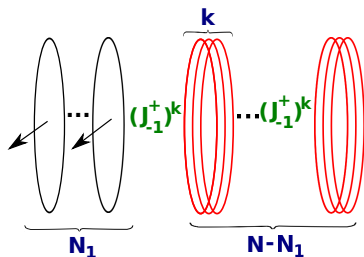
$$k(N - \bar{N}_1) \propto |b|^2$$

- The dual geometry is a deformation of $\text{AdS}_3 \times S^3 \times T^4$
- The deformation is controlled by one **scalar warp factor**

$$Z_4 = b R \left(\frac{a}{\sqrt{r^2 + a^2}} \right)^k \frac{\sin^k \theta}{r^2 + a^2 \cos^2 \theta} \cos k\phi$$

Adding momentum

- The D1-D5 black hole has **vanishing horizon area** in classical supergravity
- The simplest BPS black hole with $S_{BH} > 0$ classically is the **D1-D5-P** hole
- The simplest way to add momentum is to act on a D1-D5 state by the **CFT chiral algebra**: L_{-n}, J_{-n}^α . Example: act with $e^{\chi J_{-1}^+}$ ($\chi = \frac{\pi}{2}$)



Note:

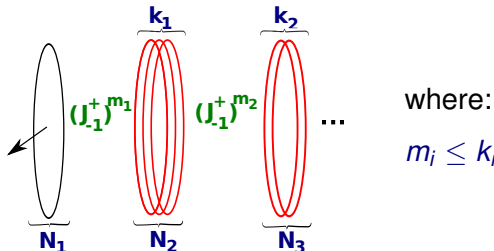
$$J_{-1}^+ |+, +\rangle_1 = 0$$

$$(J_{-1}^+)^m |0, 0\rangle_k = 0 \text{ for } m > k$$

- This is a **descendant** of a D1-D5 state: its geometry is obtained from D1-D5 by a **diffeomorphism** nontrivial at the AdS boundary

A class of D1-D5-P states

- One can consider a more general class of states



- Generically these states are **not descendants** of D1-D5 states
- They are not eigenstates of angular momentum nor of momentum
 \Rightarrow the dual geometries depend on ϕ, ψ and $v = t + y$

How to construct the dual geometries?

General susy ansatz

- The most general geometry preserving the same **supercharges** as the **D1-D5-P** black hole and **T^4 -invariant** is

$$ds_6^2 = -\frac{2}{\sqrt{\mathcal{P}}}(dv + \beta)\left(du + \omega + \frac{\mathcal{F}}{2}(dv + \beta)\right) + \sqrt{\mathcal{P}}ds_4^2, \quad \mathcal{P} = Z_1 Z_2 - Z_4^2$$

where $v = \frac{t+y}{\sqrt{2}}$, $u = \frac{t-y}{\sqrt{2}}$

- It is encoded by

0) ds_4^2 (4D euclidean metric), β (1-form in 4D)

1) Z_1, Z_2, Z_4 (0-forms)

2) ω (1-form in 4D), \mathcal{F} (0-form)

- Susy implies that u is an isometry. Everything depends on v, x^i

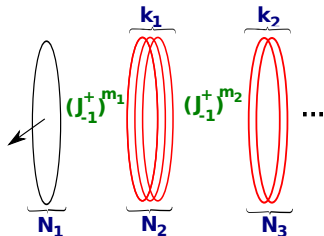
Almost linear structure

- 0) The sugra equations for ds_4^2 , β are **non-linear** (they define an “almost hyperkahler” structure)
- 1) Assuming ds_4^2 , β , the equations for Z_1 , Z_2 , Z_4 are **linear and homogeneous**
- 2) The equations for ω , \mathcal{F} are **linear and inhomogeneous**: the sources are **quadratic** in Z_i 's

Strategy: given ds_4^2 , β , first solve **1)** and then solve **2)**

A class of D1-D5-P geometries

- Remember we look for the geometry dual to



- Conjecture:

- strands of type $(J_{-1}^+)^m |0, 0\rangle_k$ do not affect ds_4^2, β
- strands of type $(J_{-1}^+)^m |0, 0\rangle_k$ contribute **linearly** to Z_4
 $\Rightarrow Z_4 = \sum_{k,m} b_{k,m} Z_4^{(k,m)}$
 with $b_{k,m}^2 \propto \overline{N}_{k,m}$ # strands of type $(J_{-1}^+)^m |0, 0\rangle_k$

Superstrata

- The contribution $Z_4^{(k,m)}$ of the strands $(J_{-1}^+)^m |0, 0\rangle_k$ can be inferred from the change of coordinates corresponding to $e^{\chi J_{-1}^+}$
- Given Z_i 's solve the sugra eqs. for ω, \mathcal{F}
- **Regularity:** ω is singular unless one includes in Z_1 terms quadratic in $b_{k,m}$
- Result: for any $\{b_{k,m}\}$ there is a **unique regular** geometry
- $b_{k,m} \leftrightarrow$ Fourier coefficients of an **arbitrary function of two variables**

D1-D5-P microstates depend at least on functions of 2 variables
 \Rightarrow supestrata

Holographic 1-point functions

- Holography relates terms of order r^{-2-d} in the asymptotic expansion of the geometry towards the AdS boundary with vevs of dimension d operators in the microstate
- The vevs of **chiral primary operators** (and their descendants) in 1/4 and 1/8 BPS states are protected
- Examples: operators of dimension 1

(Kanitscheider, Skenderis, Taylor)

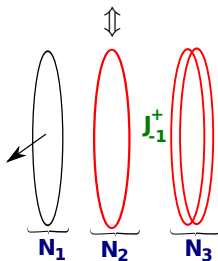
$$\bullet Z_4 \sim \frac{\langle O^{\alpha\dot{\alpha}} \rangle Y_{\alpha\dot{\alpha}}^1}{r^3} \quad \text{with} \quad O^{\alpha\dot{\alpha}} = \epsilon_{\dot{A}B} \psi^{\alpha A} \tilde{\psi}^{\dot{\alpha} B}$$

$$\bullet Z_1 \sim \frac{\langle \Sigma_2^{\alpha\dot{\alpha}} \rangle Y_{\alpha\dot{\alpha}}^1}{r^3} \quad \text{with} \quad \Sigma_2^{\alpha\dot{\alpha}} \text{ the twist field with spin } (\pm 1/2, \pm 1/2)$$

($Y_{\alpha\dot{\alpha}}^1$: S^3 scalar spherical harmonics of order 1)

A D1-D5-P example

$$\bullet |s\rangle = \sum'_{\{N_i\}} a^{N_1} b_1^{N_2} b_2^{N_3} (|+, +\rangle_1)^{N_1} (|0, 0\rangle_1)^{N_2} (J_{-1}^+ |0, 0\rangle_2)^{N_3}$$



- $O^{--} |+, +\rangle_1 = |0, 0\rangle_1 \Rightarrow \langle s | O^{--} |s\rangle \propto a b_1 \leftrightarrow Z_4$
- $\Sigma_2^{--} (|+, +\rangle_1 \otimes |0, 0\rangle_1) = |0, 0\rangle_2 \Rightarrow \langle s | \Sigma_2^{--} |s\rangle \propto e^{i\nu} a b_1 b_2 \leftrightarrow Z_1$
- Gravity and CFT match!

Note: the Z_1 coefficient is fixed by regularity on the gravity side

Entanglement entropy

- Consider the EE of **one interval** of length l in the state $|s\rangle$: $S_l^{(s)}$
- **CFT**: in the limit of $l \rightarrow 0$, $S_l^{(s)}$ is encoded by the vevs $\langle s | \mathcal{O}_K | s \rangle$

$$S_l^{(s)} = -\frac{\partial S_n^{(s)}}{\partial n} \Big|_{n=1}, \quad S_n^{(s)} = \left(\frac{l}{R}\right)^{-4\Delta_n} \left[1 + \sum_K \left(\frac{l}{R}\right)^{\Delta_K + \bar{\Delta}_K} c_K \langle s | \mathcal{O}_K | s \rangle \right]$$

- **Gravity**: $S_l^{(s)}$ is given by the area of a **minimal co-dimension 2 surface** in the 6D geometry (Ryu, Takayanagi; Hubeny, Rangamani)

$$S_l^{(s)} = \frac{\text{area}(\gamma_l)}{4G_N}$$

- $S_l^{(s)}$ is **not protected**, but if one includes only chiral primary \mathcal{O}_K
 \Rightarrow gravity and CFT match!

Summary

- We have constructed a family of **regular and horizonless D1-D5-P geometries**
- We have identified their **CFT dual states**
- We have checked the gravity-CFT map by computing **1-point functions** and **entanglement entropy**

Note:

- In our states, we act on $|0, 0\rangle_k$ strands with powers of J_{-1}^+
- In the **NS sector**: $J_{-1}^+ \rightarrow J_0^+$
- One could easily extend the construction to $L_{-1} - J_{-1}^3 \rightarrow L_{-1}$
- We have the full “**graviton gas**” (de Boer)

Outlook

- Can one extend the construction to the **full chiral algebra** (J_{-n}^α, L_{-n}) , $n > 1$?
- Can one include **fractional modes** $(J_{-n/w}^\alpha, L_{-n/w})$?
- If so, one could produce an **entropy** which scales like $(n_1 n_5 n_p)^{1/2}$
- How well can one resolve **typical states** in supergravity?
- Need to know the vevs of operators of **high enough dimension**
- What can one say about **non-BPS** microstates?