



# Specular reflection and diffraction in the Casimir effect

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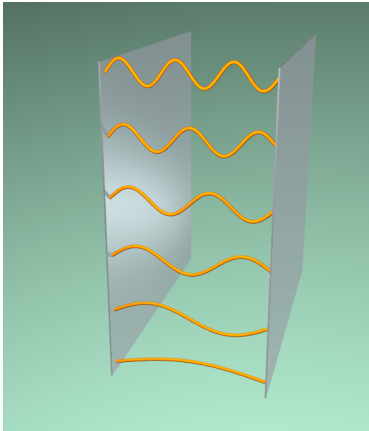
**DAAD**



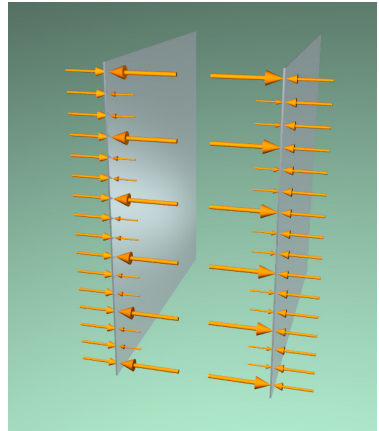
GEFÖRDERT VOM

Bundesministerium  
für Bildung  
und Forschung

vacuum energy from modes



radiation pressure

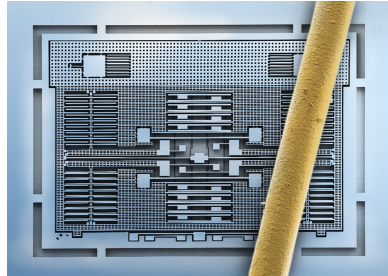


vacuum fluctuations under the influence of boundary conditions



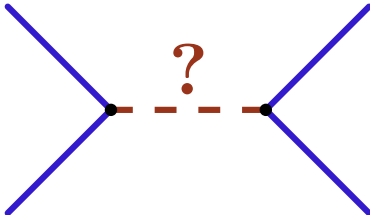
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colloids

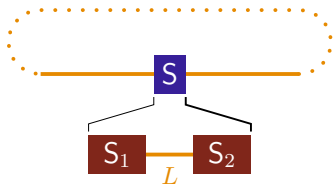


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MEMS and NEMS



search for fifth fundamental force



change of vacuum energy due to a scatterer

$$\Delta E_{\text{vac}} = \frac{i\hbar c}{4\pi} \int_0^\infty dk \ln(\det(S))$$

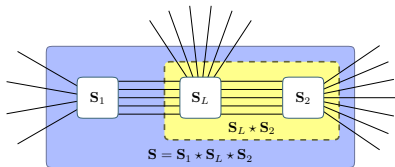
$$\det(S) = \det(S_1) \det(S_2) \frac{1 - [\bar{r}_1 r_2 e^{2ikL}]^*}{1 - [\bar{r}_1 r_2 e^{2ikL}]}$$

$$\Delta E_{\text{vac}} = \Delta E_{\text{vac}}^{(1)} + \Delta E_{\text{vac}}^{(2)} + \Delta E_{\text{vac}}(L)$$

Casimir energy

$$\Delta E_{\text{vac}}(L) = \Delta E_{\text{vac}} - \Delta E_{\text{vac}}^{(1)} - \Delta E_{\text{vac}}^{(2)} = \frac{\hbar c}{2\pi} \text{Im} \int_0^\infty dk \ln[1 - \bar{r}_1 r_2 e^{2ikL}]$$

for a pedagogical presentation see GLI, A. Lambrecht, Am. J. Phys. **83**, 156 (2015)



$$\det \mathbf{S} = \det(\mathbf{S}_1) \det(\mathbf{S}_2) \det(\mathbf{S}_L) \frac{\det(\mathcal{D}_{21})}{\det(\mathcal{D}_{21})^*}$$

with  $\mathcal{D}_{21} = (\mathbf{1} - \mathbf{S}_1^{\text{ii}} \mathbf{T}_{12}^{\text{ii}} \mathbf{S}_2^{\text{ii}} \mathbf{T}_{21}^{\text{ii}})^{-1}$

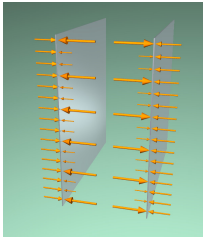
## Casimir energy at zero temperature

$$E_{\text{vac}}(L) = \hbar \int_0^\infty \frac{d\xi}{2\pi} \log \det [\mathbf{1} - \mathbf{S}_1^{\text{ii}} \mathbf{T}_{12}^{\text{ii}} \mathbf{S}_2^{\text{ii}} \mathbf{T}_{21}^{\text{ii}}(\xi)]$$

R. Guérout, GLI, A. Lambrecht, S. Reynaud, *Symmetry* **10**, 37 (2018)

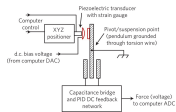
## Casimir free energy at finite temperature

$$\mathcal{F}(L) = \frac{k_B T}{2} \sum_{n=-\infty}^{\infty} \log \det [\mathbf{1} - \mathbf{S}_1^{\text{ii}} \mathbf{T}_{12}^{\text{ii}} \mathbf{S}_2^{\text{ii}} \mathbf{T}_{21}^{\text{ii}}(|\xi_n|)] \quad \xi_n = \frac{2\pi \hbar n}{k_B T}$$

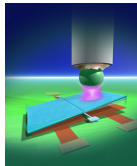


thy: Casimir (1948)  
exp: Sparnaay (1958)

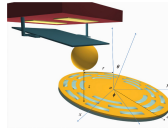
plane/plane



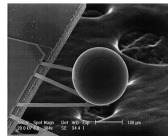
Lamoreaux group (2001)



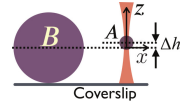
Capasso group (2001)



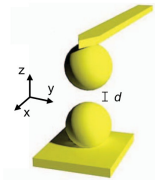
Decca (2016)



Mohideen group (1998)

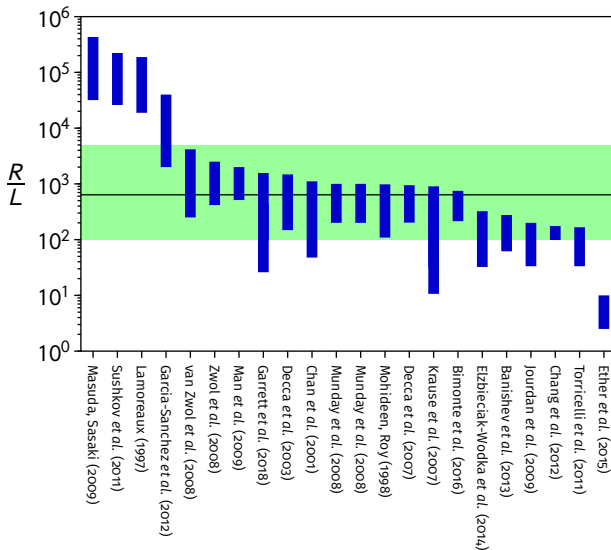


UFRJ group (2015)



Munday group (2018)

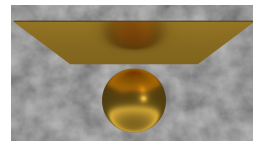
sphere/sphere

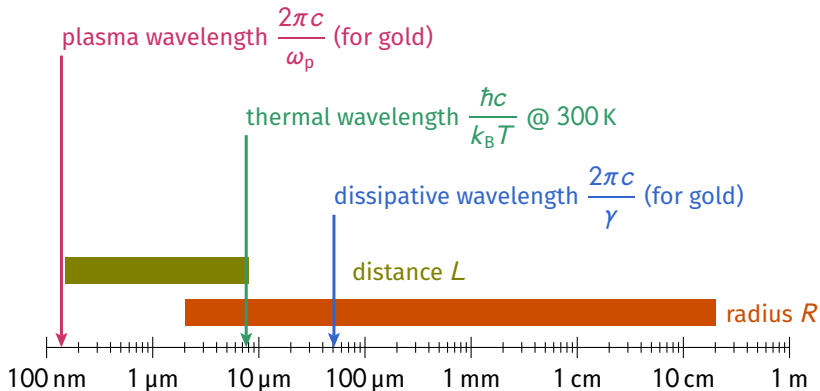


aspect ratio

$R \leftarrow$  sphere radius

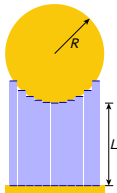
$L \leftarrow$  distance plane-sphere





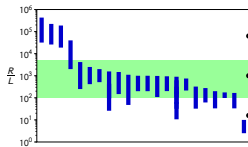
- ▶ even room temperature can be a very low temperature
- ▶ the sphere radius is often the (by far) largest length scale





## proximity force approximation (PFA)

- ▶ Casimir force is non-additive
- ▶ PFA from semiclassics in  $k$  space and its leading correction



## intermediate aspect ratios

- ▶ accurate description of experiments
- ▶ theoretical understanding of corrections to PFA

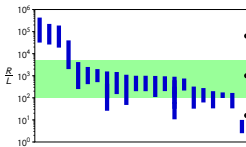
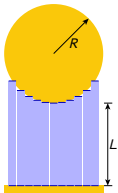
M. Hartmann, GLI, P. A. Maia Neto, Phys. Rev. Lett. **119**, 043901 (2017)  
 Phys. Scr. **93**, 114003 (2018)

## multipole expansion

- ▶  $\ell_{\max}$  increases linearly with aspect ratio
- ▶ numerics for larger aspect ratios becomes demanding

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## intermediate aspect ratios

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M. Hartmann, GLI, P. A. Maia Neto, Phys. Rev. Lett. **119**, 043901 (2017)  
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## multipole expansion

- ▶  $\ell_{\max}$  increases linearly with aspect ratio
- ▶ numerics for larger aspect ratios becomes demanding

free energy

$$\mathcal{F}_{\text{PFA}} = -\frac{k_B T R}{4} \sum_{n=-\infty}^{+\infty} \sum_{p \in \{\text{TE, TM}\}} \int_{|\xi_n|/c}^{\infty} d\kappa \text{Li}_2 \left( r_p^{(1)} r_p^{(2)} e^{-2\kappa L} \right), \quad \xi_n = \frac{2\pi n k_B T}{\hbar}$$

force

$$F_{\text{PFA}} = 2\pi R \mathcal{F}_{\text{PP}}(L, T) \quad \text{Lifshitz formula}$$

with free energy in plane-plane geometry

$$\mathcal{F}_{\text{PP}} = \frac{k_B T}{2} \sum_{n=-\infty}^{+\infty} \sum_{p \in \{\text{TE, TM}\}} \int_{|\xi_n|/c}^{\infty} \frac{d\kappa}{2\pi} \kappa \log \left( 1 - r_p^{(1)} r_p^{(2)} e^{-2\kappa L} \right)$$

- ▶ finite temperature
- ▶ arbitrary materials through Fresnel coefficients  $r_p$

Casimir energy at zero temperature for perfect reflectors

$$\mathcal{E} = \mathcal{E}_{\text{PFA}} \left( 1 + \beta_1 \frac{L}{R} + o(R^{-1}) \right)$$

proximity force approximation

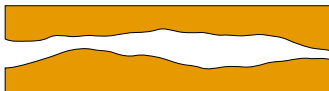
$$\mathcal{E}_{\text{PFA}} = \frac{\hbar c \pi^3 R}{720 L^2}$$

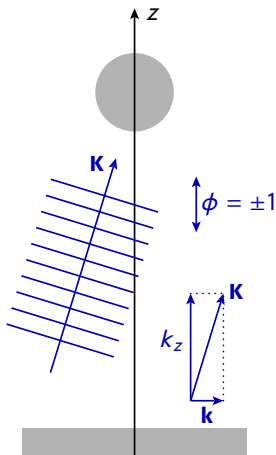
NTLO correction

$$\beta_1 = \frac{1}{3} - \frac{20}{\pi^2} \approx -1.693 \dots$$

- ▶ polarization mixing?
- ▶ diffraction effects?

- ▶ semiclassics in real space  $\rightarrow \mathcal{E}_{\text{PFA}}$ 
  - M. Schaden, L. Spruch, Phys. Rev. A **58**, 935 (1998)
  - R. L. Jaffe, A. Scardicchio, Phys. Rev. Lett. **92**, 070402 (2004)
  - A. Scardicchio, R. L. Jaffe, Nucl. Phys. B **704**, 552 (2005)
  - A. Bulgac, P. Magierski, A. Wirzba, Phys. Rev. D **73**, 025007 (2006)
- ▶ asymptotic expansion of scattering formula in multipole basis  $\rightarrow \beta_1$ 
  - M. Bordag, V. Nikolaev, J. Phys. A **41**, 164002 (2008)
  - L. P. Teo, M. Bordag, V. Nikolaev, Phys. Rev. D **84**, 125037 (2011)
- ▶ derivative expansion  $\rightarrow \beta_1$ 
  - G. Bimonte, T. Emig, R. L. Jaffe, M. Kardar, EPL **92**, 50001 (2012)





polarizations

$$\hat{\mathbf{e}}_{\text{TE}} = \frac{\hat{\mathbf{z}} \times \hat{\mathbf{K}}}{|\hat{\mathbf{z}} \times \hat{\mathbf{K}}|}, \quad \hat{\mathbf{e}}_{\text{TM}} = \hat{\mathbf{e}}_{\text{TE}} \times \hat{\mathbf{K}}$$

wave vector

$$K_z = \phi k_z, \quad k_z = \sqrt{\frac{\omega^2}{c^2} - \mathbf{k}^2}$$

basis function

$$\langle x, y, z | \omega, \mathbf{k}, p, \phi \rangle = \hat{\mathbf{e}}_p \sqrt{\frac{1}{2\pi} \left| \frac{\omega}{ck_z} \right|} e^{i(\mathbf{k} \cdot \mathbf{r} + \phi k_z z)}$$

Wick rotation

$$\xi = i\omega, \quad \kappa = \sqrt{\frac{\xi^2}{c^2} + \mathbf{k}^2}$$

free energy

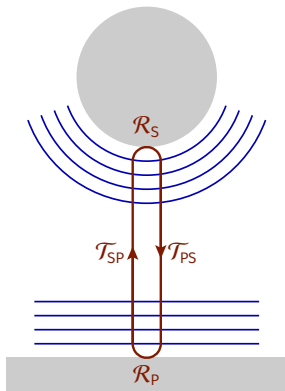
$$\mathcal{F} = \frac{k_B T}{2} \sum_{n=-\infty}^{+\infty} \text{tr} \log [1 - \mathcal{M}(|\xi_n|)]$$

round-trip operator

$$\mathcal{M} = \mathcal{T}_{SP} \mathcal{R}_P \mathcal{T}_{PS} \mathcal{R}_S$$

round-trip expansion

$$\mathcal{F} = -\frac{k_B T}{2} \sum_{n=-\infty}^{+\infty} \sum_{r=1}^{\infty} \frac{1}{r} \text{tr} \mathcal{M}^r(|\xi_n|)$$

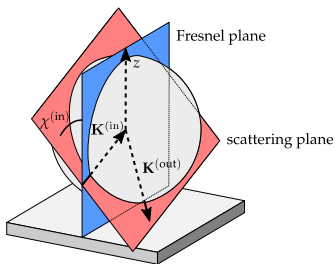


$$\begin{aligned} \text{tr} \mathcal{M}^r = & \sum_{p_1, \dots, p_{2r}} \int \frac{d\mathbf{k}_1 \dots d\mathbf{k}_{2r}}{(2\pi)^{4r}} e^{-(\kappa_1 + \dots + \kappa_{2r})(L+R)} \\ & \times \langle \mathbf{k}_1, p_1, + | \mathcal{R}_P | \mathbf{k}_{2r}, p_{2r}, - \rangle \dots \langle \mathbf{k}_2, p_2, - | \mathcal{R}_S | \mathbf{k}_1, p_1, + \rangle \end{aligned}$$

- ▶ reflection matrix elements
  - ▶ **plane**: Fresnel coefficient,  $\mathbf{k}$  conserved
  - ▶ **sphere**: Debye expansion
- ▶ saddle-point evaluation of the momentum space integrals



## polarization bases



$$\blacktriangleright \hat{\mathbf{e}}_{\text{TE}} = \frac{\hat{\mathbf{z}} \times \hat{\mathbf{R}}}{|\hat{\mathbf{z}} \times \hat{\mathbf{R}}|} \quad \hat{\mathbf{e}}_{\text{TM}} = \hat{\mathbf{e}}_{\text{TE}} \times \hat{\mathbf{R}}$$

$$\blacktriangleright \hat{\mathbf{e}}_{\perp}^{(\text{in})} = \frac{\mathbf{K}^{(\hat{\text{out}})} \times \mathbf{K}^{(\hat{\text{in}})}}{|\mathbf{K}^{(\hat{\text{out}})} \times \mathbf{K}^{(\hat{\text{in}})}|} \quad \hat{\mathbf{e}}_{\parallel}^{(\text{in})} = \hat{\mathbf{e}}_{\perp} \times \mathbf{K}^{(\hat{\text{in}})}$$

$$\blacktriangleright \hat{\mathbf{e}}_{\perp}^{(\text{out})} = \frac{\mathbf{K}^{(\hat{\text{out}})} \times \mathbf{K}^{(\hat{\text{in}})}}{|\mathbf{K}^{(\hat{\text{out}})} \times \mathbf{K}^{(\hat{\text{in}})}|} \quad \hat{\mathbf{e}}_{\parallel}^{(\text{out})} = \hat{\mathbf{e}}_{\perp} \times \mathbf{K}^{(\hat{\text{out}})}$$

$$\cos(\chi^{(\text{in})}) = \hat{\mathbf{e}}_{\text{TE}}(\mathbf{K}^{(\text{in})}) \cdot \hat{\mathbf{e}}_{\perp} \quad \cos(\chi^{(\text{out})}) = \hat{\mathbf{e}}_{\text{TE}}(\mathbf{K}^{(\text{out})}) \cdot \hat{\mathbf{e}}_{\perp}$$

at saddle point: Fresnel plane and scattering plane coincide ( $\chi^{(\text{in/out})} = 0$ )

for NTLO correction: tilt needs to be accounted for ( $\chi^{(\text{in/out})} \neq 0$ )

scattering amplitude  $\rightarrow$  geometrical optics

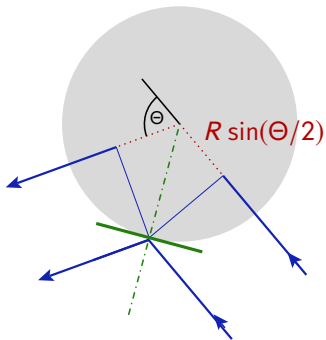
$$S_p^{\text{WKB}} = (-1)^p \frac{\xi R}{2c} \exp \left[ \frac{2\xi R}{c} \sin \left( \frac{\Theta}{2} \right) \right]$$

with leading corrections

$$S_p = S_p^{\text{WKB}} \left( 1 + \frac{1}{R} s_p + O(R^{-2}) \right)$$

$$s_{\perp} = \frac{c}{2\xi} \frac{\cos(\Theta)}{\sin^3(\Theta/2)}$$

$$s_{\parallel} = -\frac{c}{2\xi} \frac{1}{\sin^3(\Theta/2)}$$





# Matrix elements for reflection at large spheres

$$\langle \mathbf{k}^{(\text{out})}, \rho^{(\text{out})}, - | \mathcal{R}_S | \mathbf{k}^{(\text{in})}, \rho^{(\text{in})}, + \rangle \simeq \frac{\pi R}{\kappa^{(\text{out})}} \exp \left[ \frac{2\xi R}{c} \sin \left( \frac{\Theta}{2} \right) \right] \rho_{\rho^{(\text{out})}, \rho^{(\text{in})}}$$

perfect reflectors ( $r_{\text{TM}} = 1, r_{\text{TE}} = -1$ )

$$\rho_{\text{TM}, \text{TM}} = (A - B) + \frac{1}{R} (A s_{\parallel} - B s_{\perp})$$

$$\rho_{\text{TE}, \text{TE}} = -(A - B) - \frac{1}{R} (A s_{\perp} - B s_{\parallel})$$

$$\rho_{\text{TE}, \text{TM}} = (C - D) + \frac{1}{R} (C s_{\perp} - D s_{\parallel})$$

$$\rho_{\text{TM}, \text{TE}} = (C - D) + \frac{1}{R} (C s_{\parallel} - D s_{\perp})$$

$$A = \cos(\chi^{(\text{out})}) \cos(\chi^{(\text{in})})$$

$$B = \sin(\chi^{(\text{out})}) \sin(\chi^{(\text{in})})$$

$$C = \sin(\chi^{(\text{out})}) \cos(\chi^{(\text{in})})$$

$$D = -\cos(\chi^{(\text{out})}) \sin(\chi^{(\text{in})})$$

$$\text{tr} \mathcal{M}^r = \left( \frac{R}{4\pi} \right)^r \int d\mathbf{k}_0 \dots d\mathbf{k}_{r-1} g(\mathbf{k}_0, \dots, \mathbf{k}_{r-1}) e^{-Rf(\mathbf{k}_0, \dots, \mathbf{k}_{r-1})}$$

saddle-point manifold

$$\mathbf{k}_0 = \dots = \mathbf{k}_{r-1} \equiv \mathbf{k}_{\text{sp}}$$

consequences for leading order (PFA)

- ▶ scattering plane and Fresnel planes coincide ( $\chi^{(\text{in})} = \chi^{(\text{out})} = 0$ )
- ▶ no polarization mixing ( $A = 1, B = C = D = 0$ )

$$\begin{aligned} \text{tr} \mathcal{M}^r &= \left( \frac{R}{4\pi} \right)^r \int d\mathbf{k}_0 \dots d\mathbf{k}_{r-1} g(\mathbf{k}_0, \dots, \mathbf{k}_{r-1}) e^{-Rf(\mathbf{k}_0, \dots, \mathbf{k}_{r-1})} \\ &= \frac{R}{2r} \int_{\xi/c}^{\infty} d\kappa_{\text{sp}} \kappa_{\text{sp}}^r \left[ F_0 + \frac{1}{R} F_1 + o(R^{-1}) \right] \end{aligned}$$

with

$$F_0 = g|_{\text{sp}}$$

$$F_1 = g|_{\text{sp}} \left( \sum_{ijk} \frac{2f_{ijk} f_{\bar{i}\bar{j}\bar{k}} + 3f_{ij\bar{j}} f_{i\bar{k}\bar{k}}}{24\lambda_i \lambda_j \lambda_k} - \sum_{ij} \frac{f_{i\bar{j}\bar{j}}}{8\lambda_i \lambda_j} \right) + \sum_{ij} \frac{g_i f_{i\bar{j}\bar{j}}}{2\lambda_i \lambda_j} + \sum_i \frac{g_{\bar{i}\bar{i}}}{2\lambda_i}$$

$\lambda_i$ : eigenvalues of Hessian       $\bar{i} = r - i$

saddle-point approximation ( $F_0$ )

→ Lifshitz formula for the Casimir force

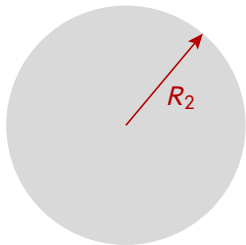
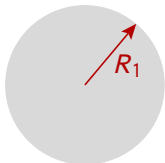
$$F \simeq 2\pi R_{\text{eff}} \mathcal{F}_{\text{PP}}(L, T)$$

free energy per area in plane-plane geometry

$$\mathcal{F}_{\text{PP}}(L, T) = \frac{k_B T}{2} \sum_{n=-\infty}^{+\infty} \sum_{p \in \{\text{TE}, \text{TM}\}} \int_{|\xi_n|/c}^{\infty} \frac{d\kappa}{2\pi} \kappa \times \log \left( 1 - r_p^{(1)} r_p^{(2)} e^{-2\kappa L} \right)$$

effective radius

$$R_{\text{eff}} = \frac{R_1 R_2}{R_1 + R_2}$$



- ▶ change of  $k = |\mathbf{k}|$  allowed by Gaussian width

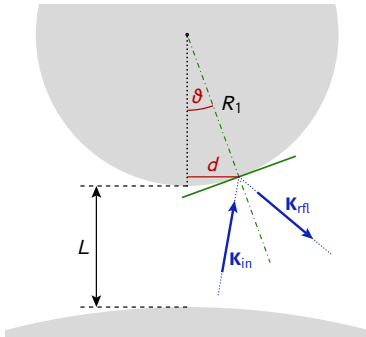
$$\delta k \sim \frac{1}{\sqrt{LR_1}}$$

- ▶ relevant angles for  $\vartheta \ll 1$

$$\vartheta \lesssim \frac{\delta k}{2k_z}$$

- ▶ cutoff on  $k_z$

$$k_z \sim 1/L$$



effective area  $A \sim R_1 L \ll R_1 < R_2$

contribution from thermal fluctuations

$$A^{(T)} \sim \frac{\hbar c}{k_B T} R_1$$

$$\begin{aligned} \text{tr} \mathcal{M}^r &= \left( \frac{R}{4\pi} \right)^r \int d\mathbf{k}_0 \dots d\mathbf{k}_{r-1} g(\mathbf{k}_0, \dots, \mathbf{k}_{r-1}) e^{-Rf(\mathbf{k}_0, \dots, \mathbf{k}_{r-1})} \\ &= \frac{R}{2r} \int_{\xi/c}^{\infty} d\kappa_{\text{sp}} \kappa_{\text{sp}}^r \left[ F_0 + \frac{1}{R} F_1 + o(R^{-1}) \right] \end{aligned}$$

- ▶ diffractive corrections in  $F_0 = g|_{\text{sp}}$
- ▶ higher-order saddle-point approximation  
exploiting symmetry of functions  $f$  and  $g \rightarrow$

$$F_1 = g|_{\text{sp}} \left( \sum_{ijk} \frac{f_{ijk} f_{\bar{i}\bar{j}\bar{k}}}{12\lambda_i \lambda_j \lambda_k} - \sum_{ij} \frac{f_{i\bar{i}\bar{j}\bar{j}}}{8\lambda_i \lambda_j} \right) + \sum_i \frac{g_{i\bar{i}}}{2\lambda_i}$$



contribution arising from  $F_0 = g|_{sp}$

- ▶ leading term: proximity force approximation
- ▶ leading correction: diffraction

perfect reflectors, zero temperature

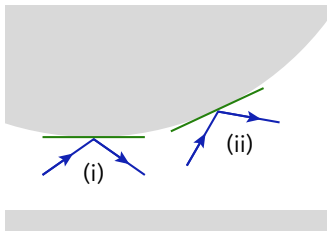
$$\mathcal{E}_p = \mathcal{E}_{\text{PFA}} \left( \frac{1}{2} + \beta_{d,p} \frac{L}{R} \right)$$

diffractive contribution from the two polarizations

$$\beta_{d, \text{TE}} = -\frac{25}{2\pi^2} \quad \beta_{d, \text{TM}} = -\frac{5}{2\pi^2} = \frac{1}{5} \beta_{d, \text{TE}}$$

total diffractive correction

$$\beta_d = -\frac{15}{\pi^2}$$



$$F_1 = g|_{\text{sp}} \left( \sum_{ijk} \frac{f_{ijk} f_{i\bar{j}\bar{k}}}{12\lambda_i \lambda_j \lambda_k} - \sum_{ij} \frac{f_{i\bar{i}\bar{j}\bar{j}}}{8\lambda_i \lambda_j} \right) + \sum_i \frac{g_{i\bar{i}}}{2\lambda_i}$$

- ▶ only the last term can account for polarization mixing
- ▶ one finds that the polarization mixing terms cancel each other
- ▶  $F_1$  can be interpreted as arising from specular reflection, but at a tilted tangent plane [process (ii)]

both polarizations contribute equally with a sum of

$$\beta_{\text{go}} = \frac{1}{3} - \frac{5}{\pi^2}$$



# Leading correction

---

diffraction, TE	$-\frac{25}{2\pi^2}$
diffraction, TM	$-\frac{5}{2\pi^2}$
geometrical optics, TE	$\frac{1}{6} - \frac{5}{2\pi^2}$
geometrical optics, TM	$\frac{1}{6} - \frac{5}{2\pi^2}$

---

total correction	$\frac{1}{3} - \frac{20}{\pi^2}$
------------------	----------------------------------

Casimir energy at zero temperature for perfect reflectors

$$\mathcal{E} = \frac{\hbar c \pi^3 R}{720 L^2} \left[ 1 + \left( \frac{1}{3} - \frac{20}{\pi^2} \right) \frac{L}{R} + o(R^{-1}) \right]$$

leading correction

	TE	TM
diffraction	74.8%	15.0%
geometrical optics	5.1%	5.1%

- ▶ dominant contribution due to diffraction in the TE mode
- ▶ diffraction contribution depends on polarization
- ▶ geometrical optics contribution independent of polarization

leading correction to PFA based on electromagnetic field

$$\beta_{\text{TE}} = \frac{1}{6} - \frac{15}{\pi^2} \quad \beta_{\text{TM}} = \frac{1}{6} - \frac{5}{\pi^2}$$

leading correction to PFA based on scalar fields

G. Bimonte, T. Emig, R. L. Jaffe, M. Kardar, EPL **97**, 50001 (2012)

L. P. Teo, M. Bordag, V. Nikolaev, Phys. Rev. D **84**, 125037 (2011)

Dirichlet boundary conditions  $\beta_{\text{DD}} = \frac{1}{6}$

Neumann boundary conditions  $\beta_{\text{NN}} = \frac{1}{6} - \frac{20}{\pi^2}$

total leading correction to PFA

$$\beta_1 = \beta_{\text{TE}} + \beta_{\text{TM}} = \beta_{\text{DD}} + \beta_{\text{NN}} = \frac{1}{3} - \frac{20}{\pi^2}$$



- ▶ The Casimir free energy in the sphere-plane geometry has been calculated semiclassically in momentum space for large spheres accounting fully for the electromagnetic field.
- ▶ The results allow for an interpretation in terms of geometrical optics and diffraction.
- ▶ The proximity force approximation has been derived for arbitrary temperatures and materials.
- ▶ The leading correction has been derived for perfect reflectors at zero temperature highlighting the role of diffraction.

## references:

- ▶ B. Spreng, M. Hartmann, V. Henning, P. A. Maia Neto, GLI Phys. Rev. A **94**, 062504 (2018)
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